Security and Assurance for Researcher Identities

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Researcher Identity Management

Example questions for science projects:

- What authentication strength (e.g., password policy, multi-factor) should we require?
- How do we vet researcher identities for access to our assets?
- What operational security standards must our identity providers and applications meet?

Federated Identity Sources

- InCommon: US R&E SAML federation
- eduGAIN: Global interoperability for R&E SAML federations
- OAuth providers: Google, GitHub, ORCID

👼 📶 InCommon Participants 🗙				- 🗆 X
\leftarrow \rightarrow \mathbf{C} \triangleq Secure https://www.incommon.org/participants				☆ :
			InCommon is operated by In	iternet2
In Common 。		About	Participants Join	InCommon Search
Federation	Certificates	eduroam		
Current InCommon Participa	ants			
Below is a complete list of InCommon Participa	nts. There are also lists available	e for:		
 Identity and Service Providers deplote to more-detailed information on each en Certificate Service subscribers 				
Higher Education Participants (664)	Government and Nonprofit Laboratories, Research Centers, and Agencies (33)		Sponsored Partners	5 (292)
A. T. Still University	Ames Laboratory		12Twenty Inc.	
Adventist University of Health Sciences	Argonne National Laborat	ory	99 Agree	
Aims Community College	Brookhaven National Laboratory		Aastra USA	
Albion College	ESnet		Academic Analytics	
Allan Hancock Joint Community College District	Fermilab		Acadiem Inc.	
Allegheny College	GENI Project Office Internet2		Accessible Information Mana	agement, LLC
American Museum of Natural History -	Jefferson Lab		ACEware Systems Inc. Ad Astra Information	
Richard Gilder Graduate School	Lawrence Berkeley Nation	al Laboratory	Adirondack Solutions	
American Public University System	Lawrence Livermore Natio	onal Security,	Adobe Systems, Inc.	
American University American University of Beirut	LLC/Lawrence Livermore Laboratory	National	AEFIS	
American University of Sharjah	LIGO Scientific Collaborat	ion	Agilent Technologies, Inc.	
			ALEKS Corporation	•

Standards for Federated ID Assurance at Scale

- Interoperable Global Trust Federation (https://igtf.net/)
- REFEDS MFA (https://refeds.org/profile/mfa)
- REFEDS Assurance Framework
- OpenID Connect Authentication Context Class Reference (acr)
- SAML AuthnContextClassRef and eduPersonAssurance

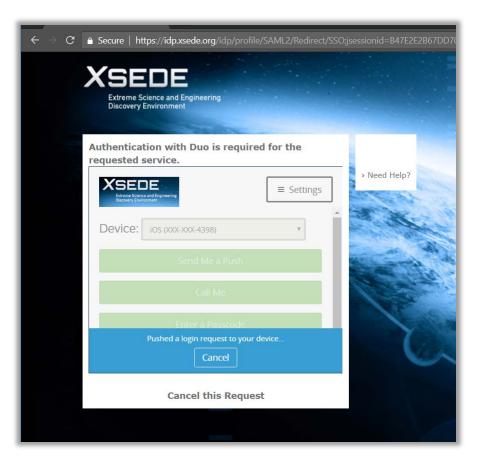






Example: XSEDE's InCommon IdP

- Requires Duo MFA
 - https://refeds.org/profile/mfa
- Supports "vetted" and "unvetted" users
 - Self sign-up for XSEDE User Portal account
 - https://refeds.org/assurance/IAP/low
 - Users on peer-reviewed XSEDE allocations
 - https://refeds.org/assurance/IAP/medium



Example: CILogon

- Set OpenID Connect acr claim from SAML AuthnContextClassRef
- Certificate LOA based on SAML eduPersonAssurance

	\leftarrow \rightarrow C \triangleq Secure https://cilogon.org	☆ :
	Cî ClLogon	
<pre>"iss": "https://cilogon.org", "given_name": "Jim", "aud": "cilogon:test/surge/prod", "acr": "https://refeds.org/profile/mfa", "idp": "https://idp.xsede.org/idp/shibboleth", "name": "Jim Basney", "family_name": "Basney", "family_name": "Basney", "email": "jbasney@illinois.edu"</pre>	Certificate Subject: /DC=org/DC=cilogon/C=US/O=XSEDE/CN=Jim Basney T107618 Identity Provide: XSEDE Level of Assurance: Basic Password Protect Your New Certificate: Enter A Password: Confirm Password: Get New Certificate Identity Confirm Password: Identiticate Set New Certificate Set New Certificate Image: Set New Certificate Set New Certificate Set New Certificate Set New Certificate	

Operational Standards

- REFEDS Security Incident Response Trust Framework for Federated Identity (SIRTFI)
 - https://refeds.org/sirtfi
- InCommon Baseline Expectations
 - https://www.incommon.org/federation/baseline/

\$ git clone -q https://github.internet2.edu/InCommon/md-transforms.git \$ cd md-transforms/lib \$ curl -s -0 http://md.incommon.org/InCommon/InCommon-metadata.xml \$ xsltproc baseline_report_csv.xsl InCommon-metadata.xml > report.csv \$ egrep "cilogon.org|idp.xsede.org|idp.ncsa.illinois.edu" report.csv | awk -F "," '{print \$11,\$1}' Y https://idp.xsede.org/idp/shibboleth Y https://cilogon.org/shibboleth Y https://idp.ncsa.illinois.edu/idp/shibboleth

ORCID Researcher Assurance Model NSF CYBERSECURITY SUMMIT | SECURITY AND ASSURANCE FOR RESEARCHER IDENTITIES

AUGUST 23, 2018

LAURA PAGLIONE

Director, Strategic Initiatives, ORCID http://orcid.org/0000-0003-3188-6273



Connecting Research and Researchers



ORCID'S VISION IS A WORLD WHERE ALL WHO PARTICIPATE IN RESEARCH, SCHOLARSHIP, AND INNOVATION ARE UNIQUELY IDENTIFIED AND CONNECTED TO THEIR CONTRIBUTIONS AND AFFILIATIONS ACROSS TIME, DISCIPLINES, AND BORDERS.



WHAT IS ORCID?

- Open, not-for-profit organization
 - > run by & for the research community
- Provider of unique researcher identifiers: ORCID iDs
 - reliably & clearly connect researchers with contributions & affiliations
- Integration point for ORCID iDs
 - > 100s of systems integrated: grant applications, manuscript submission, CRIS, repositories, & more!



ORCID BY THE NUMBERS

909 members from 43 countries

527 system connectors

>5.1 active researcher identifiers

over 2.2 records with connected million information

55 publishers signed open letter

Consortia in

- Italy
- France
- Germany
- Portugal
- Belgium
- Netherlands
- UK
- Finland
- Sweden

- Norway
- United States (2)
- Canada
- Brazil
- South Africa
 - Taiwan
 - Australia
 - New Zealand



https://orcid.org/statistics

ORCID Trust

INTRODUCTION INDIVIDUAL CONTROL RELIABILITY ACCOUNTABILITY INTEGRITY

ORCID's vision is a world where all who participate in research, scholarship, and innovation are uniquely identified and connected to their contributions and affiliations across disciplines, borders, and time. We provide an identifier for individuals to use with their name as they share their ideas. We provide open tools that enable transparent connections between researchers, and identifiers for their contributions, and affiliations. What may appear to be a humble alphanumeric string in fact offers crucial opportunities to engender trust and support open research.

Confidence and trust is important to us. We have put in place controls, policies, and practices so that these connections are controlled by researchers, and that the source of each connection is openly articulated.

ORCID is a community-built and community-managed organization. We have a dedicated international team that collaborates broadly to build durable and high-fidelity research information infrastructure. We are grounded by 10 principles that guide our work. These principles emphasize our commitment to being a respectful, reliable, inclusive, and accountable partner of the research community. We respect the privacy and information sharing needs of the individuals that hold ORCID iDs, who control whether their information is shared and with whom. As a non-profit organization we are not incentivized to profit from information entrusted to us. We are governed by a volunteer Board elected from our membership - organizations in the research community - who ensure that we remain true to ORCID principles.

This ORCID Trust Program provides greater transparency into the components that are foundational to our principles. Click on the sections below to learn more.



Individual control

Our tools and services provide you control over your registration, what is connected to your iD, and who can access your information. We provide system security and protection to ensure that user control is maintained.



Reliable registry

We understand that dependability, availability, security, and durability are required of the systems and services we provide for you and the organizations you work with in the research community.



Community accountability

We make ourselves accountable to all who use and support ORCID activities through our non-profit status, our membership-based

ORCID TRUST: POLICY & CONTROLS

Infrastructure that is secure, long-lived & reliable

Individual control

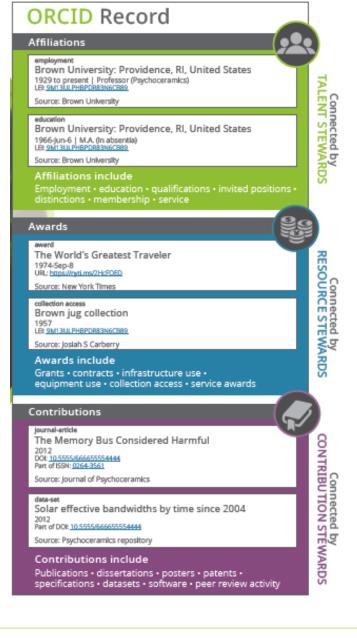
respect privacy, enable control, protect data

Reliability

iD accessibility, infrastructure security, evolve to community needs

- Accountability persist as service, operational transparency
- Integrity high-fidelity connections, clear data interpretation



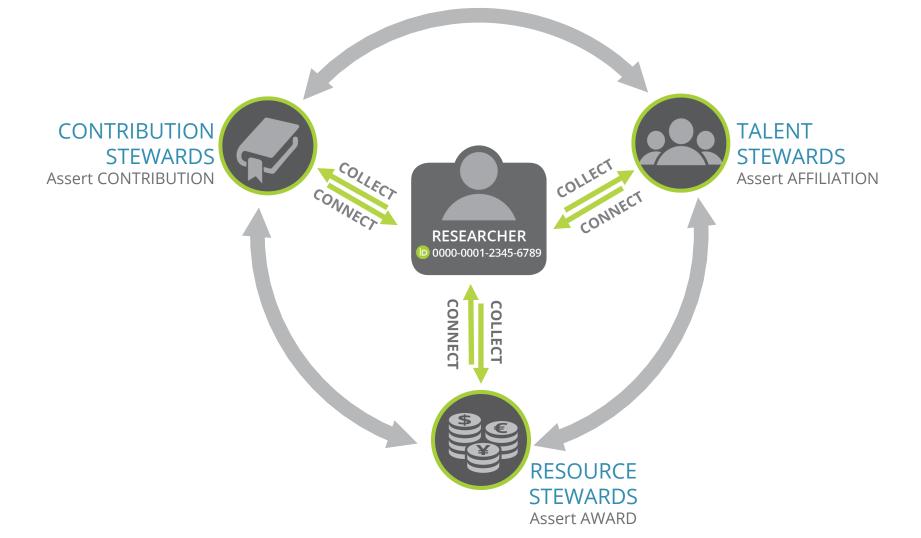


BUILDING REPUTATION OVER TIME THROUGH ACTIONS

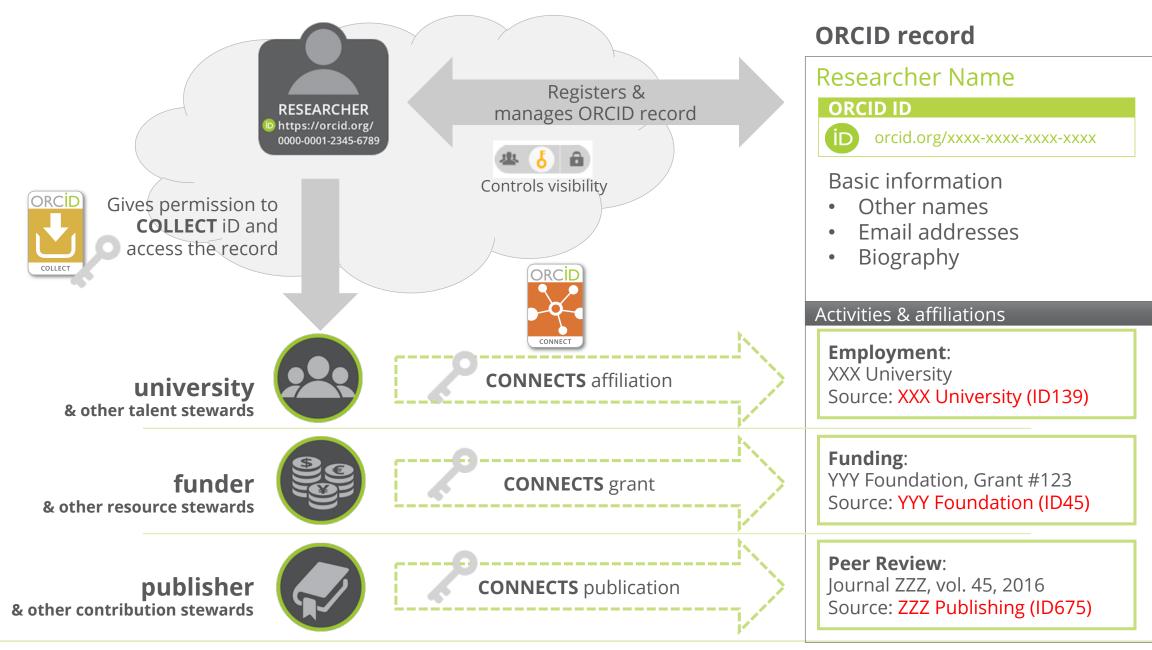
- Distributed activity/affiliation claims
- Claims from organizations you trust
 - Research institutions
 - Funders
 - Publishers
- Claims provided over time via workflow activities



PRIMARY ACTION AND BENEFIT BY SECTOR







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IN PRACTICE: CONNECT YOUR INFORMATION TO ORCID



Talent stewards

- Employ or educate researchers
- Have researchers and scholars among their members
- Recognize editorial, project, working group, or other work

➢ connect AFFILIATIONS

Employment • education • qualifications • invited positions • distinctions • membership • service



Contribution stewards

- Interact with authors and reviewers to publish work
- Store/ archive data sets and other research materials
- Manage conference submissions

connect CONTRIBUTIONS

Publications • dissertations • conference posters • patents • specifications • data sets • software • peer review activity

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Resource stewards

- Fund research
- Manage access/ use of user facilities and equipment
- Manage access/ use of collections and research material

connect AWARDS

Grants • *contracts* • *infrastructure use* • *equipment use* • *collection access* • *service awards*

University of Minnesota: Minneapolis, Minnesota, United States

Source: University of Minnesota

Information science. Standards and inf	rastructure for
innovation data exchange.	
2012-10 journal-article	
DOI: 10.1126/science.1221840	
PMC: PMC3774837	
PMID: 23066063	
Source: Europe PubMed Central	C Preferred source (of 2)

Neutron Beam Award at High Flux Isotope Reactor (HFIR) and Spallation Neutron Source (SNS) Oak Ridge National Laboratory (Oak Ridge, TN, United States) 2017-01 to 2017-06 | Award Award: 2017-A: PTAX, TOPAZ Alternate URL: neutrons.ornl.gov

Source: Oak Ridge National Laboratory

C Preferred source

Globus for High Assurance Data Management

NSF Cybersecurity Workshop August 23, 2018 Steve Tuecke tuecke@globus.org





Globus Auth enables an integrated ecosystem of services and applications for the research community

Based on widely used web standards

3

• OAuth 2.0 Authorization Framework (a.k.a. OAuth2)

• OpenID Connect Core 1.0 (a.k.a. OIDC)

docs.globus.org/api/auth



- Similar to: "Log in with Google" "Log in with Facebook"
- Using existing identities (e.g., InCommon)
- Providing access to community services

Help

Pricing Developers Suppl

Research data

management

simplified.

Our Users

Researchers and resource providers are

our greatest inspiration and we love it

when they say nice things about

cription

letstream

H Images

Products -

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Researchers

Focus on your research, not IT

manage, and share big data.

problems. We make it easy to move,

globus

RESEARCH

DATA

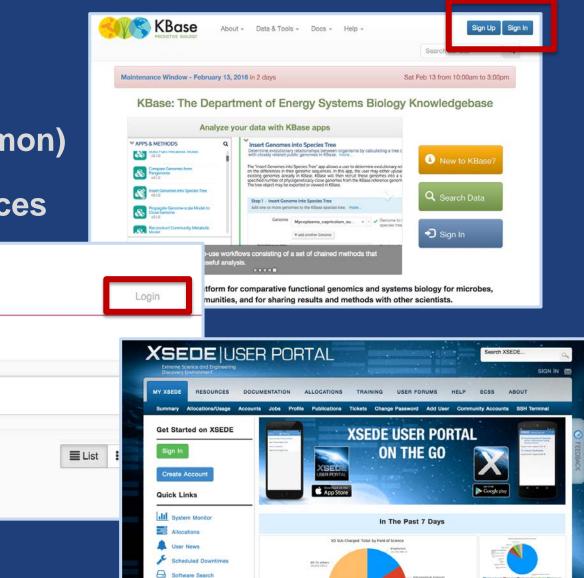
169,082,876,263 MB

Resource Providers

Globus gives you more control over

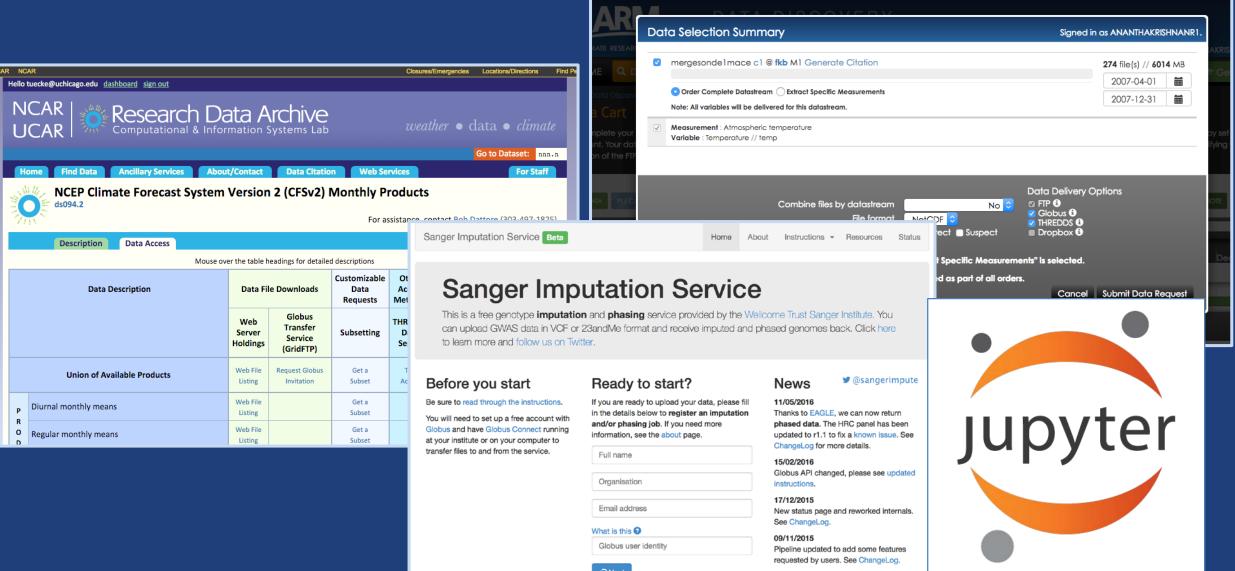
excellent ease-of-use for your

your data infrastructure, while providing



Integrate apps with Globus services

5



See older news...

Supports Native Apps

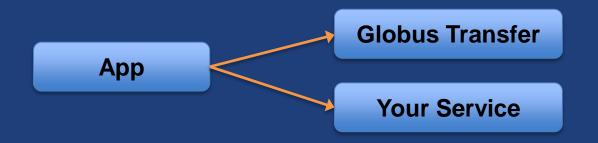
- Non-browser apps:
 - Command Line
 - Mobile
 - Desktop

\$ globus Usage: globus [OPTIONS] COMMAND [ARGS]... Options: -v, --verbose Control level of output Show this message and exit. -h, --help Output format for stdout. Defaults to text -F, --format [json|text] --jmespath, --jq TEXT A JMESPath expression to apply to json output. Takes precedence over any specified '--format' and forces the format to be json processed by this expression Map HTTP statuses to any of these exit codes: --map-http-status TEXT 0,1,50-99. e.g. "404=50,403=51" Commands: bookmark Manage Endpoint Bookmarks config Modify, view, and manage your Globus CLI config. delete Submit a Delete Task endpoint Manage Globus Endpoint definitions get-identities Lookup Globus Auth Identities list-commands List all CLI Commands login Login to Globus to get credentials for the Globus CLI logout Logout of the Globus CLI lsList Endpoint directory contents mkdir Make a directory on an Endpoint rename Rename a file or directory on an Endpoint Manage asynchronous Tasks task Submit a Transfer Task transfer version Show the version and exit whoami Show the currently logged-in identity.



Authenticate your REST APIs

Build services with secure REST APIs



Services can leverage other services securely



Globus for high assurance data management

Restricted data handling

- PHI (Protected Health Information)
- PII (Personally identifiable information)
- Controlled Unclassified Information
- University of Chicago security controls
 - NIST 800-53 Low
 - NIST 800-171 Low



 Business Associate Agreements (BAA) between University of Chicago and our subscribers
 University of Chicago has a BAA with Amazon

Restricted data disclosure to Globus

- With High Assurance and BAA tiers, PHI data can be moved and shared
- Globus never sees file contents
 File contents can have restricted data
- File paths/name can have restricted data (e.g. PHI)
- None of the other elements (endpoint definitions, labels, collection definitions) can contain restricted data

High Assurance features

Additional authentication assurance

- Per storage gateway policy on frequency of authentication with specific identity for access to data
- Ensure that user authenticates with the specific identity that gives them access within session (decoupling linked identities)

Session/device isolation

- Authentication context is per application, per session (~browser session)
- Enforces encryption of all user data in transit
- Audit logging



• Secure operations

- Intrusion detection and prevention
- Performance and health monitoring
- Logging
- Secure remote access, access control
- Uniform configuration management and change control
- Backups and disaster recovery
- All data stored by Globus is encrypted at rest
- Use AWS best practices for securing environment
 - Virtual Private Clouds host security
 - AWS security groups network security
 - AWS IAM (identity and access management) best practices individual security

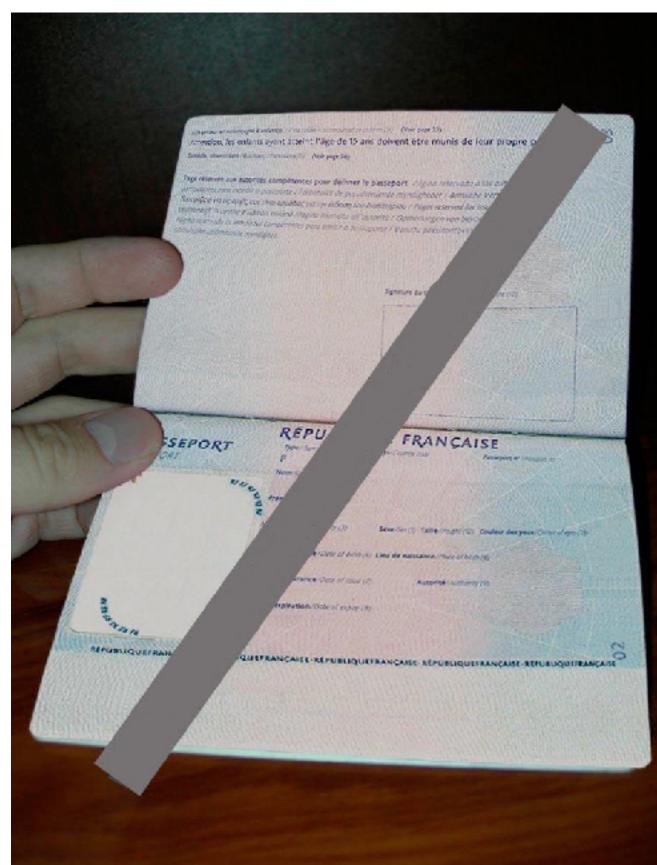


 Globus Auth add higher authentication assurance for applications and services

 Foundation for application with high assurance requirements, such as for restricted data

Identities are worth \$\$\$

- Typical price online:
 - Social Security number: \$1
 - Drivers license: \$20
 - Paypal/Banking account: \$20-\$500
 - Credit card: \$10-\$100
 - Medical record: \$1-\$1000

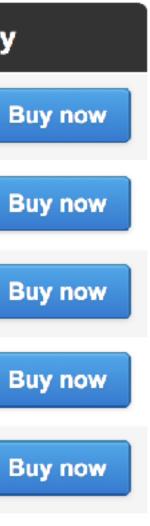




Passports



Product	Price	Quantity
Lithuanian Passport	2650 EUR = 8.669 ₿	1 X
Netherlands Passport	3150 EUR = 10.305 ₿	1 X
Denmark Passport	3150 EUR = 10.305 ₿	1 X
Great Britain Passport	4000 EUR = 13.086 ₿	1 X
Canada Passport	2500 EUR = 8.179 ₿	1 X









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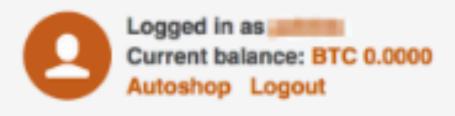
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LCG

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Digital Products O	ther Other	Netflix Accour	nts [LIFETIM	IE + FR
LISTING OPTIONS				
Contact Seller				
Favorite Listing				
Favorite Seller		NET	FLIX	
Alert when restock				
Report Listing				
BROWSE CATEGORIES				
Fraud	10657	_		
Drugs & Chemicals	37472			
Cuides & Tutorials	4732			
Counterfeit Items	1952			
Digital Products	4410			
Jewels & Gold	590	Description	Bids I	Feedbad
> Weapons	636			

Product Description

Identities are worth \$\$\$



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Nethix Act		ME + FREEBIES]			
Get your Netflix ac	counts here now for a frac	tion of the price!			
Sold by Drawkwarl 459 items available		2015 Vendor Level 5	Trust Level 5		
	Features		Features		
Product class	Digital goods	Origin country	Worldwide		
Quantity left	Unlimited	Ships to	Worldwide		
Ends in	Never	Payment	Escrow		
Default 1 dawn	USD +0.00 / item				1





2

Identities are worth \$\$\$

8+

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Connecting...

Akaunty-ru

🗅 A new, modern store fresh 📀

akaunty.ru/?attempt=1

Spaces.r

Dear users online store «AKAUNTY.ru», this site is specifically designed just for you! We are quite a unique site that not only sells the accounts, and buys them In stock accounts have all the social networks on the ideal prices. If you can not find any accounts that you need, you can make an order for their registration in our online store.

Our accounts are benign. For convenience and product warranty with the purchase you have 20 minutes to check the accounts, so that you have verified their robot. Here you can find accounts for every taste: Facebook, Odnoklassniki, Vkontakte, etc.

Preparation of accounts is carried out instantly thanks to the automatic payment: Kiwi, Webmoney and Yandex.

Product

LCG

Pleasant to use!)

@mail.ru

Number of

For 1 piece.

Accounts of social networks



Identities are worth \$\$\$

109

8+ facei

Connecting...

LCG

Akaunty-ru

🗅 A new, modern store fresh 📀

X ₼ akaunty.ru/?attempt=1

spaces.p

Dear users online store «AKAUNTY.ru», this site is specifically designed just for you! We are quite a unique site that not only sells the accounts, and buys them. In stock accounts have all the social networks on the ideal prices. If you can not find any accounts that you need, you can make an order for their registration in our online store.

Our accounts are benign. For convenience and product warranty with the purchase you have 20 minutes to check the accounts, so that you have verified their robot. Here you can find accounts for every taste: Facebook, Odnoklassniki, Vkontakte, etc.

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Product

Pleasant to use!)

@mail.ru

Number of

For 1 piece.

Accounts of social networks



Key challenge: enable federated incident response

- Compromised identities
 - Top security risk identified as part of the WLCG risk assessment
 - -"Compromised identities are the most common intrusion vector for the security incidents that have affected WLCG."
- Incident response is a crucial part of our trust model – Great metrics for collaboration, trust and security
- International projects = International incident response
 - Significant experience (~10 events per year for the last 10 years in WLCG)
 - Self-contained: identities issued by highly vetted participants or trusted parties
 - -All under the same set of policies or memorandum of understanding









Key challenge: enable federated incident response

Federated identities change this model

Vorldwid

LCG

- No pre-established authority, trust relationship or collaboration agreement with identity providers
- A new realm for collaboration, trust and incident response - Incident response depends on multiple actors, across multiple administrative domains
 - Completely different controls and trust model
 - Yet we need to retain similar level of security/assurance
- If security is undermined, so will be trust, and global security collaboration
- Federated identities have a profound impact on collaboration & incident response:
 - All participants must have the will and capability to collaborate and to trust each other with sensitive information on a global scale. Huge challenge.









Panel Discussion

What is the primary challenge that we currently face for security and assurance for researcher identities?

Additional Panel Questions

- What are your experiences with incident response for federated identities?
- What are the next steps for multi-factor authentication?
- What are the options and needs for researcher identity vetting (e.g., ORCID iDs in publications, XSEDE allocations process, CERN credentialing process)?
- What assurance standards do/should we support/use (e.g., eduPersonAssurance)?
- What operational security requirements do/should federated identity providers and service providers meet (e.g., InCommon Baseline Expectations, REFEDS SIRTFI)?